# Logic for Computer Science. Knowledge Representation and Reasoning. 

Lecture Notes<br>for<br>Computer Science Students<br>Faculty EAliIB-IEiT AGH



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Other support material:
http://home.agh.edu.pl/~ligeza
https://ai.ia.agh.edu.pl/pl:dydaktyka:logic:start

## Propositional Calculus

- Alphabet,
- Syntax,
- Semantics,
- Logical implication $(\models)$ and logical equivalence,
- Logical derivation $(\vdash)$,
- Truth Tables,
- Functional completeness,
- Properties: satisfiability, unsatisfiability, tautologies,...
- Tautology verification,
- Minterms and implicents,
- Maxterms and implicants,
- CNF - Conjunctive Normal Form,
- DNF - Disjunctive Normal Form,
- Transformations preserving logical equivalence,
- minimal and maximal normal forms (CNF, DNF),
- transformation to CNF/DNF,
- Some observations on maxCNF and maxDNF; the $\Pi$ and $\Sigma$ notation shorthand,
- Normal forms of 0 and 1 ,
- Important tasks of logic...


## The Alphabet of Propositional Calculus

A propositional variable can be assigned some meaning, e.g.:
$p \stackrel{\text { def }}{=}$ 'Everybody is excited with this logic lecture'.
Definition 1 Propositional Calculus Alphabet:

- $P$ - the set of propositional symbols (propositional variables),

$$
P=\left\{p, q, r, \ldots, p_{1}, q_{1}, r_{1}, \ldots, p_{2}, q_{2}, r_{2}, \ldots\right\},
$$

- ᄀ-negation,
- $\wedge$ - conjunction,
- $\vee$ - disjunction (rather than alternative),
- $\Rightarrow$ - implication (also: $\Leftarrow$ ),
- $\Leftrightarrow$ - equivalence (two-side implication),
- two special symbols:
- T - a formula always true (note that it is not the True value),
- $\perp$ - a formula always false (note that it is not the False value),
- parentheses.

There are many notations for logical connectives!
See: https://en.wikipedia.org/wiki/Logical_connective
By use of these logical connectives and propositional symbols one builds more complex logical formulas (formulae) of Propositional Calculus.

Not all expressions built with use of these symbols are Well-Formed Formulae (WFF).

Well-Formed Formula must satisfy the syntax rules; see next page.

## Syntax

Definition 2 Definition of legal formulas:

- $\top i \perp$ are formulas,
- every $p \in P$ is a formula,
- if $\phi, \psi$ are formulas, then:
- $\neg(\phi)$ is a formula (also: $\neg(\psi)$ ),
- $(\phi \wedge \psi)$ is a formula,
- $(\phi \vee \psi)$ is a formula,
- $(\phi \Rightarrow \psi)$ is a formula,
- $(\phi \Leftrightarrow \psi)$ is a formula,
- and nothing else.

Set of formulas = FOR.
Every formula has a parsing tree. There is a grammar defining WFF.
Atomic formulas; atoms - simple propositional symbols; more exactly:

$$
\mathbf{A T O M}=P \cup\{\top, \perp\}
$$

Literals: atoms or their negations;
Positive literals: atomic formulas (with no negation);
Negative literals: negated atomic formulas $(\neg p)$.
Pair of complementary literals: $\{p, \neg p\}$.
Clauses: disjunctions of literals: $(p \vee q \vee \neg r \vee s)$
Horn clauses: clauses with at most one positive literal: $(h \vee \neg p \vee \neg q)$, i.e.:

$$
p \wedge q \rightarrow h
$$

## Hierarchy of Logical Connectives - Parentheses Elimination

The hierarchy of logical connectives (from top to bottom):

- negation ( $\neg$ ),
- conjunction ( $\wedge$ ),
- disjunction (V),
- implication $(\Rightarrow)$,
- equivalence $(\Leftrightarrow)$.

It allows to eliminate parentheses... Look for examples.
Some philosophical questions:

- What in fact does a negation mean?
https://en.wikipedia.org/wiki/Negation
- Finite or infinite worlds? Closed-World Assumption vs. Open World
- Negation-as-Failure vs. Strong Negation
https://en.wikipedia.org/wiki/Stable_model_ semantics\#Strong_negation
- Logical negation versus material negation!
- Do we need negation?


## Semantics

Interpretation $I$ maps propositional symbols into $\mathcal{T}=\{\mathbf{T}, \mathbf{F}\}$.
Definition 3 Let $P$ be a set of propositional symbols. Interpretations is defined as:

$$
\begin{equation*}
I: P \longrightarrow\{\mathbf{T}, \mathbf{F}\}, \tag{1}
\end{equation*}
$$

Notation: $I(\phi)=\mathbf{T}$ is noted as $\models_{I} \phi ; I(\phi)=\mathbf{F}$ is noted as $\not \models_{I} \phi$
Definition 4 The Interpretation I is extended over all formulas $\phi, \psi, \varphi$ from FOR as follows:

- $I(\top)=\mathbf{T}\left(\models_{I} \top\right)$,
- $I(\perp)=\mathbf{F}\left(\not \forall_{I} \perp\right)$,
- $\models_{I} \neg \phi$ iff $\mid \models_{I} \phi$,
- $\models_{I}(\phi \wedge \psi)$ iff $\models_{I} \phi$ and $\models_{I} \psi$,
- $\models_{I}(\phi \vee \psi)$ iff $\models_{I} \phi$ or $\models_{I} \psi$,
- $\models_{I}(\phi \Rightarrow \psi)$ iff $\models_{I} \psi$ or $\not \models_{I} \phi$,
- $\models_{I}(\phi \Leftrightarrow \psi)$ iff $\models_{I}(\phi \Rightarrow \psi)$ and $\models_{I}(\psi \Rightarrow \phi)$.

Definition 5 Equivalence Formulas $\phi$ and $\psi$ are logically equivalent iff for any I:

$$
\begin{equation*}
\models_{I} \phi \quad \text { iff } \quad \models_{I} \psi . \tag{2}
\end{equation*}
$$

Definition 6 Logical Implication Formula $\psi$ is logical consequence of $\phi$ iff for any $I$ :

$$
\begin{equation*}
\text { if } \quad \models_{I} \phi \quad \text { then } \quad \models_{I} \psi . \tag{3}
\end{equation*}
$$

## Truth Tables

|  | $\phi$ | $\neg \phi$ |
| :---: | :---: | :---: |
|  | F | T |
|  | T | F |
| $\phi$ | $\varphi$ | $\phi \wedge \varphi$ |
| F | F | F |
| F | T | F |
| T | F | F |
| T | T | T |
| $\phi$ | $\varphi$ | $\phi \vee \varphi$ |
| F | F | F |
| F | T | T |
| T | F | T |
| T | T | T |
| $\phi$ | $\varphi$ | $\phi \Rightarrow \varphi$ |
| F | F | T |
| F | T | T |
| T | F | F |
| T | T | T |
| $\phi$ | $\varphi$ | $\phi \Leftrightarrow \varphi$ |
| F | F | T |
| F | T | F |
| T | F | F |
| T | T | T |

## An Engineering Notation; Towards Boolean Algebra

Instead of the True and False we often use the 1 and 0 values; this simplifies notation in some cases. It is also applied in the Boolean Algebra.
The Truth Tables looks as follows:

| $p$ | $\neg p$ |
| :---: | :---: |
| 0 | 1 |
| 1 | 0 |

The case of conjunction:

| $p$ | $q$ | $p \wedge q$ |
| :---: | :---: | :---: |
| 0 | 0 | 0 |
| 0 | 1 | 0 |
| 1 | 0 | 0 |
| 1 | 1 | 1 |

The case of disjunction:

| $p$ | $q$ | $p \vee q$ |
| :---: | :---: | :---: |
| 0 | 0 | 0 |
| 0 | 1 | 1 |
| 1 | 0 | 1 |
| 1 | 1 | 1 |

The case of implication:

| $p$ | $q$ | $p \Rightarrow q$ |
| :---: | :---: | :---: |
| 0 | 0 | 1 |
| 0 | 1 | 1 |
| 1 | 0 | 0 |
| 1 | 1 | 1 |

# Tabular Definitions of Logical Connectives 

| $\phi$ | $\psi$ | $\neg \phi$ | $\phi \wedge \psi$ | $\phi \vee \psi$ | $\phi \Rightarrow \psi$ | $\phi \Leftrightarrow \psi$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| true | true | false | true | true | true | true |
| true | false | false | false | true | false | false |
| false | true | true | false | true | true | false |
| false | false | true | false | false | true | true |

Semantics through equivalent transformation:

- $\phi \Rightarrow \psi \equiv \neg \phi \vee \psi$,
- $\phi \Leftrightarrow \psi \equiv(\phi \Rightarrow \psi) \wedge(\phi \Leftarrow \psi)$,
- $\phi \mid \psi \equiv \neg(\phi \wedge \psi)$-Sheffer function or NAND; also noted as $\overline{\phi \wedge \psi}$,
- $\phi \downarrow \psi \equiv \neg(\phi \vee \psi)$ - Pierce function or NOR; other notation $\overline{\phi \vee \psi}$,
- $\phi \bigoplus \psi \equiv(\neg \phi \wedge \psi) \vee(\phi \wedge \neg \psi)$ — EX-OR,

But there are many other functions possible...
For $n$ arguments there are as many as $2^{2^{n}}$ functions, so for $n=2$ there is 16 different functions.

Try to justify this statement.
Try to find a systematic way to define all the functions of two arguments.

## Functional Completeness

Definition 7 A Set of Functions is functionally complete if it allows to express any logical function.

Some examples:

## AND, OR, NOT:

$$
\{\neg, \wedge, \vee\}
$$

AND, NOT:

$$
\{\neg, \wedge\}
$$

OR, NOT:

$$
\{\neg, \vee\}
$$

## IMPLICATION, NOT:

$$
\{\neg, \Rightarrow\}
$$

## NAND:

$$
\{\mid\}
$$

NOR:

Definition 8 A functionally complete set of functions is minimal — if it cannot be further reduced without violating functional completeness.

Is the implication itself a functionally complete set? But it can be: how to solve this problem?

For convenience, redundant systems are in use.

## Properties of Formulas

A formula $\phi$ may be:
true/satisfied - for interpretation $I, \models_{I} \phi$,
false/unsatisfied - for interpretation $I, \not \models_{I} \phi$,
satisfiable - if there exists an interpretation $I$ such that $\models_{I} \phi$,
falsifiable/may be false - if there exists an interpretation $I, \not \models_{I} \phi$, tautology/valid — if for any interpretation $I, \models_{I} \phi$; we write:

$$
\models \phi
$$

always false - if for any interpretation $I$ :

$$
\not \models \phi
$$

What are the mutual relationships - if any - between the formulas satisfying the following definitions?

- formula $\Psi$ is a logical consequence of formula $\Phi$, to be denoted as $\Phi \models \Psi$ iff for any interpretation $I$ satisfying $\Phi, I$ satisfies also $\Psi$;
- formula $\Psi$ is derivable from formula $\Phi$, to be denoted as $\Phi \vdash \Psi$ iff there exists a sequence of (valid) inference rules transforming $\Phi$ into $\Phi$;
- Such a derivation is called a linear derivation;
- a formula can be derived from a set $\Delta$ of formulas (the axioms; the Knowledge Base); in this case we often present the derivation in a form of inversed tree.


## Most important equivalent transformations

- $\neg \neg \phi \equiv \phi$ - double negation elimination,
- $\phi \wedge \psi \equiv \psi \wedge \phi$ - conjunction alternation,
- $\phi \vee \psi \equiv \psi \vee \phi$ - disjunction alternation,
- $(\phi \wedge \varphi) \wedge \psi \equiv \phi \wedge(\varphi \wedge \psi)$ — commutativity,
- $(\phi \vee \varphi) \vee \psi \equiv \phi \vee(\varphi \vee \psi)$ — commutativity,
- $(\phi \vee \varphi) \wedge \psi \equiv(\phi \wedge \psi) \vee(\varphi \wedge \psi)$ — distributive law,
- $(\phi \wedge \varphi) \vee \psi \equiv(\phi \vee \psi) \wedge(\varphi \vee \psi)$ - distributive law,
- $\phi \wedge \phi \equiv \phi$ - idempotency,
- $\phi \vee \phi \equiv \phi$ - idempotency,
- $\phi \wedge \perp \equiv \perp, \phi \wedge \top \equiv \phi$ - identity,
- $\phi \vee \perp \equiv \phi, \phi \vee \top \equiv \top$ — identity,
- $\phi \vee \neg \phi \equiv \top$ — tertium non datur; excluded middle,
- $\phi \wedge \neg \phi \equiv \perp$ — falsification,
- $\neg(\phi \wedge \psi) \equiv \neg(\phi) \vee \neg(\psi)$ — De Morgan rule,
- $\neg(\phi \vee \psi) \equiv \neg(\phi) \wedge \neg(\psi)$ — De Morgan rule,
- $\phi \Rightarrow \psi \equiv \neg \psi \Rightarrow \neg \phi$ - contraposition,
- $\phi \Rightarrow \psi \equiv \neg \phi \vee \psi$ - implication elimination.

Simple (direct) statement:

$$
p \Rightarrow q
$$

The Inverse Statement (causality analysis):

$$
q \Rightarrow p
$$

The Opposite/Contrary Statement(building exclusive rules):

$$
\neg p \Rightarrow \neg q
$$

The Contradictive Statement (proof by contradiction)

$$
\neg q \Rightarrow \neg p
$$

The Square of Logical Statements:


See also: https://en.wikipedia.org/wiki/Square_of_ opposition

## Example: Tautology Verification

$$
\phi=((p \Rightarrow r) \wedge(q \Rightarrow r)) \Leftrightarrow((p \vee q) \Rightarrow r)
$$

There are exactly $2^{3}$ possible interpretations; we enumerate them in a consecutive way.

| $\mathbf{N}$ | $p$ | $q$ | $r$ | $p \Rightarrow r$ | $q \Rightarrow r$ | $(p \Rightarrow r) \wedge(q \Rightarrow r)$ | $(p \vee q) \Rightarrow r$ | $\Phi$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 0 | 0 | 0 | 0 | 1 | 1 | $\mathbf{1}$ | $\mathbf{1}$ | $\mathbf{1}$ |
| 1 | 0 | 0 | 1 | 1 | 1 | $\mathbf{1}$ | $\mathbf{1}$ | $\mathbf{1}$ |
| 2 | 0 | 1 | 0 | 1 | 0 | $\mathbf{0}$ | $\mathbf{0}$ | $\mathbf{1}$ |
| 3 | 0 | 1 | 1 | 1 | 1 | $\mathbf{1}$ | $\mathbf{1}$ | $\mathbf{1}$ |
| 4 | 1 | 0 | 0 | 0 | 1 | $\mathbf{0}$ | $\mathbf{0}$ | $\mathbf{1}$ |
| 5 | 1 | 0 | 1 | 1 | 1 | $\mathbf{1}$ | $\mathbf{1}$ | $\mathbf{1}$ |
| 6 | 1 | 1 | 0 | 0 | 0 | $\mathbf{0}$ | $\mathbf{0}$ | $\mathbf{1}$ |
| 7 | 1 | 1 | 1 | 1 | 1 | $\mathbf{1}$ | $\mathbf{1}$ | $\mathbf{1}$ |

Other possibility - through equivalence preserving transformations:

$$
\begin{gathered}
\phi \equiv((\neg p \vee r) \wedge(\neg q \vee r)) \Leftrightarrow(\neg(p \vee q) \vee r) . \\
\phi \equiv((\neg p \wedge \neg q) \vee r) \Leftrightarrow(\neg(p \vee q) \vee r) . \\
\phi \equiv(\neg(p \vee q) \vee r) \Leftrightarrow(\neg(p \vee q) \vee r) .
\end{gathered}
$$

Let us put: $\psi=(\neg(p \vee q) \vee r)$; so we see:

$$
\phi \equiv \psi \Leftrightarrow \psi,
$$

What about the following examples? Logical equivalence ( $\equiv$ )or logical implication $(\models)$ ? If so, which way? Try your intuitions first!

$$
\begin{aligned}
& \phi=((p \Rightarrow r) \wedge(q \Rightarrow r)) \Leftrightarrow((p \wedge q) \Rightarrow r) \\
& \phi=((p \Rightarrow r) \vee(q \Rightarrow r)) \Leftrightarrow((p \vee q) \Rightarrow r)
\end{aligned}
$$

## Example: Logical Consequence Verification (EX-LCV16)

$$
\frac{(p \Rightarrow q) \wedge(r \Rightarrow s)}{(p \vee r) \Rightarrow(q \vee s)}
$$

Put:

$$
\phi=(p \Rightarrow q) \wedge(r \Rightarrow s)
$$

and

$$
\varphi=(p \vee r) \Rightarrow(q \vee s),
$$

Now, check if:

$$
\begin{equation*}
\phi \models \varphi . \tag{4}
\end{equation*}
$$

| $\mathbf{N}$ | $p$ | $q$ | $r$ | $s$ | $p \Rightarrow q$ | $r \Rightarrow s$ | $(p \Rightarrow q) \wedge(r \Rightarrow s)$ | $p \vee r$ | $q \vee s$ | $(p \vee r) \Rightarrow(q \vee s)$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 0 | 0 | 0 | 0 | 0 | 1 | 1 | $\mathbf{1}$ | 0 | 0 | $\mathbf{1}$ |
| 1 | 0 | 0 | 0 | 1 | 1 | 1 | $\mathbf{1}$ | 0 | 1 | $\mathbf{1}$ |
| 2 | 0 | 0 | 1 | 0 | 1 | 0 | $\mathbf{0}$ | 1 | 0 | $\mathbf{0}$ |
| 3 | 0 | 0 | 1 | 1 | 1 | 1 | $\mathbf{1}$ | 1 | 1 | $\mathbf{1}$ |
| 4 | 0 | 1 | 0 | 0 | 1 | 1 | $\mathbf{1}$ | 0 | 1 | $\mathbf{1}$ |
| 5 | 0 | 1 | 0 | 1 | 1 | 1 | $\mathbf{1}$ | 0 | 1 | $\mathbf{1}$ |
| 6 | 0 | 1 | 1 | 0 | 1 | 0 | $\mathbf{0}$ | 1 | 1 | $\mathbf{1}$ |
| 7 | 0 | 1 | 1 | 1 | 1 | 1 | $\mathbf{1}$ | 1 | 1 | $\mathbf{1}$ |
| 8 | 1 | 0 | 0 | 0 | 0 | 1 | $\mathbf{0}$ | 1 | 0 | $\mathbf{0}$ |
| 9 | 1 | 0 | 0 | 1 | 0 | 1 | $\mathbf{0}$ | 1 | 1 | $\mathbf{1}$ |
| 10 | 1 | 0 | 1 | 0 | 0 | 0 | $\mathbf{0}$ | 1 | 0 | $\mathbf{0}$ |
| 11 | 1 | 0 | 1 | 1 | 0 | 1 | $\mathbf{0}$ | 1 | 1 | $\mathbf{1}$ |
| 12 | 1 | 1 | 0 | 0 | 1 | 1 | $\mathbf{1}$ | 1 | 1 | $\mathbf{1}$ |
| 13 | 1 | 1 | 0 | 1 | 1 | 1 | $\mathbf{1}$ | 1 | 1 | $\mathbf{1}$ |
| 14 | 1 | 1 | 1 | 0 | 1 | 0 | $\mathbf{0}$ | 1 | 1 | $\mathbf{1}$ |
| 15 | 1 | 1 | 1 | 1 | 1 | 1 | $\mathbf{1}$ | 1 | 1 | $\mathbf{1}$ |

From analysis of columns $8(\phi)$ and $11(\varphi)$ the logical consequence is confirmed (but not equivalence; see row enumerated as: 6, 9, 11 and 14).

## Minterms

Definition 9 Literal $A$ literal is an atomic formula $p$ or its negation $\neg p$.

Definition 10 Let $q_{1}, q_{2}, \ldots q_{n}$ are literals:

$$
\phi=q_{1} \wedge q_{2} \wedge \ldots \wedge q_{n}
$$

is a minterm, simple conjunction or product.

Lemma 1 Minterm is satifiable iff it does not contain a pair of complementary literals.

Lemma 2 Minterm is unsatisfiable iff it contains a pair of complementary literals.

Notation:

$$
\phi=q_{1} \wedge q_{2} \wedge \ldots \wedge q_{n}
$$

or

$$
\phi=q_{1} q_{2} \ldots q_{n}
$$

or a set of literals of a minterm $\phi$

$$
[\phi]=\left\{q_{1}, q_{2}, \ldots q_{n}\right\}
$$

Definition 11 Minterm $\phi$ subsumes minterm $\psi$ iff $[\phi] \subseteq[\psi]$.

Lemma 3 Let $\phi$ and $\psi$ are any minterms; then :

$$
\psi \models \phi \quad \text { iff } \quad[\phi] \subseteq[\psi]
$$

Think of conjunction as a constraint; a longer conjunction is a stronger constraint, since more literals must be satisfied!

## Maxterms

Definition 12 Let $q_{1}, q_{2}, \ldots q_{n}$ are literals; then:

$$
\phi=q_{1} \vee q_{2} \vee \ldots \vee q_{n}
$$

is a maxterm, simple disjunction or a clause.

Lemma 4 Maxterm is falsifiable iff it does not contain a pair of complementary literals.

Lemma 5 Maxterm is a tautology iff it contains a pair of complimentary literals.

Definition 13 Maxterm $\psi$ subsumes maxterm $\phi$ iff

$$
[\psi] \subseteq[\phi]
$$

Lemma 6 Let $\phi$ and $\psi$ are any maxterms; then:

$$
\psi \models \phi \quad \text { iff } \quad[\psi] \subseteq[\phi] .
$$

Think of disjunction as a constraint; a longer disjunction is a weaker constraint since more literals are allowed to be satisfied! Let us consider a clause:

$$
\psi=\neg p_{1} \vee \neg p_{2} \vee \ldots \vee \neg p_{k} \vee h_{1} \vee h_{2} \vee \ldots \vee h_{m}
$$

After applying the de Morgan rule:

$$
\neg\left(p_{1} \wedge p_{2} \wedge \ldots \wedge p_{k}\right) \vee\left(h_{1} \vee h_{2} \vee \ldots \vee h_{m}\right)
$$

This can be put as:

$$
p_{1} \wedge p_{2} \wedge \ldots \wedge p_{k} \Rightarrow h_{1} \vee h_{2} \vee \ldots \vee h_{m}
$$

## Definition 14 A clause of the form:

$$
\psi=\neg p_{1} \vee \neg p_{2} \vee \ldots \vee \neg p_{k} \vee h
$$

is called a Horn Clause.

Alternative notations:

$$
p_{1} \wedge p_{2} \wedge \ldots \wedge p_{k} \Rightarrow h .
$$

In Prolog or in Datalog:

$$
h:-p_{1}, p_{2}, \ldots, p_{k} .
$$

also:
h :- p_1, p_2,..., p_k.
h if p_1 and p_2 and ... and p_k.
Three forms of Horn clauses:

- facts,
- full clauses,
- constraints/calls.

Important intutions (EX-LCV16, the rightmost column):

- minterms define the 1 -s of the table EX-LCV16; there are 13 of them,
- maxterms define the 0 -s of the table EX-LCV16; there are only 3 of them.

But how do they look like? How to join them in order to define the formula? © Antoni Ligęza

## CNF - Conjunctive Normal Form

Definition 15 Formula $\Psi$ is in Conjunctive Normal Form (CNF; also called: Conjunction of Clauses, Product of Sums) iff

$$
\Psi=\psi_{1} \wedge \psi_{2} \wedge \ldots \wedge \psi_{n}
$$

where $\psi_{1}, \psi_{2}, \ldots, \psi_{n}$ are clauses. Notation: $[\Psi]=\left\{\psi_{1}, \psi_{2}, \ldots, \psi_{n}\right\}$.

## Examples:

Which of the following are in CNF:

1. $(p \vee g \vee \neg r) \wedge(p \vee r) \wedge \neg r$
2. $((p \wedge q) \vee \neg r) \wedge(p \vee r) \wedge \neg r$
3. $\neg(p \vee q) \wedge(p \vee r) \wedge \neg r$
4. $(M \longrightarrow I) \wedge(\neg M \longrightarrow(\neg I \wedge L)) \wedge((I \vee L) \longrightarrow H) \wedge(H \longrightarrow G)$
5. $(\neg M \vee I) \wedge(M \vee \neg I) \wedge(M \vee L) \wedge(\neg I \vee H) \wedge(\neg L \vee H) \wedge(\neg H \vee G)$

Definition 16 Implicent of a CNF formula - a clause, such that if it is false then the respective formula is also false.

An implicent falisfies a CNF formula. Must it be equal to some of the clauses of the considered formula in CNF?

Definition 17 A formula is in maximal CNF form (canonical CNF form) iff it is composed of all full/maximal clauses:

$$
\operatorname{maxCNF}(\Psi)=\psi_{1} \wedge \psi_{2} \wedge \ldots \wedge \psi_{n}
$$

all $\psi_{1}, \psi_{2}, \ldots, \psi_{n}$ contain all propositional symbols in use.

## Definition 18 Formula

$$
\Psi=\psi_{1} \wedge \psi_{2} \wedge \ldots \wedge \psi_{n}
$$

in CNF is minimal iff it cannot be reduced without violating logical equivalence.

CNF - appropriate for inconsistency checking. But also basic for SAT!
The always false formula $\perp$ of $n$ propositional variables can be represented in maximal CNF in a unique way and it consists of $2^{n}$ different clauses, each of $n$ propositional symbols (negated or not), e.g.:

$$
\perp=p q r \wedge p q \bar{r} \wedge p \bar{q} r \wedge p \bar{q} \bar{r} \wedge \bar{p} q r \wedge \bar{p} q \bar{r} \wedge \bar{p} \bar{q} r \wedge \bar{p} \bar{q} \bar{r} \quad \text { (CNF) }
$$

Why the formula is always false?
This formula is also called the normal form of 0 .

## Some observations on CNF:

Important intuition: A maxCNF covers 1:1 all the 0 -s in the truth table (e.g. EX-LCV16). Write all the 3 maxterms/clauses just looking at the table - as an example...

A CNF can contain single literals as components; this can be explored as the single literal clause/unit preference strategy in SAT and Resolution Theorem Proving:

$$
p \wedge(p \vee q) \wedge(\neg p \vee q \vee r)
$$

Weaker components (clauses) in CNF can be absorbed - this leads to simplification of the CNF

$$
p \equiv p \wedge(p \vee q)
$$

Clauses different in one position - defined by complementary literals can be resolved (RR):

$$
(p \vee q) \wedge(p \vee \neg q) \equiv p
$$

A CNF containing complementary literals as unit clauses is immediately false:

$$
p \wedge(q \vee \neg s) \wedge \neg p \equiv \perp
$$

Definition 19 Formula $\Phi$ is in Disjunctive Normal Form (DNF; also called: Disjunction of Minterms, Sum of Products) iff

$$
\Phi=\phi_{1} \vee \phi_{2} \vee \ldots \vee \phi_{n}
$$

where $\phi_{1}, \phi_{2}, \ldots, \phi_{n}$ are any minterms. Notation: $[\Phi]=\left\{\phi_{1}, \phi_{2}, \ldots, \phi_{n}\right\}$.

## Example:

Which of the following are in DNF:

1. $(p \wedge q) \vee((p \vee \neg q) \wedge(\neg p \vee \neg q)))$
2. $(p \wedge q) \vee((p \vee q) \vee \neg(p \wedge q)))$
3. $(p \wedge q) \vee((p \wedge \neg q) \vee(\neg p \wedge \neg q)))$
4. $(p \wedge q) \vee(p \wedge \neg q) \vee(\neg p \wedge q) \vee(\neg p \wedge \neg q)$
5. Try to find the DNF of the CNF No. 5 - example from page $20 \ldots$

Definition 20 Implicant of a DNF formula - a minterm, such that if it is true, then the respective formula is also true.

An implicant validates a DNF formula. Must it be equal to some of the components of the formula?

Definition 21 A maximal DNF form (canonical DNF form) is any formula containing all the possible minterms:

$$
\max D N F(\Phi)=\phi_{1} \vee \phi_{2} \vee \ldots \vee \phi_{n}
$$

where all the minterms $\phi_{1} \vee \phi_{2} \vee \ldots \vee \phi_{n}$ are composed of all the propositional symbols in use.

## Definition 22 Formula

$$
\Phi=\phi_{1} \vee \phi_{2} \vee \ldots \vee \phi_{n}
$$

in DNF is minimal iff it cannot be reduced without violating logical equivalency.

DNF — is appropriate for checking satisfiability.
The formula always true $T$ containing $n$ propositional variables can be transformed to maximal DNF form in a unique way and it is composed of $2^{n}$ different products, each of them of $n$ variables (negated or not), e.g.:

$$
\begin{equation*}
\top=p q r \vee p q \bar{r} \vee p \bar{q} r \vee p \bar{q} \bar{r} \vee \bar{p} q r \vee \bar{p} q \bar{r} \vee \bar{p} \bar{q} r \vee \bar{p} \bar{q} \bar{r} \tag{DNF}
\end{equation*}
$$

Why the formula is always true? This formula is also called the normal form of 1 .

## Some observations on DNF:

Important intuition: A maxDNF covers 1:1 all the 1-s in the truth table (e.g. EX-LCV16). Write all the 13 full minterms just looking at the table - as en example...

A DNF can contain single literals as components; this can be explored in the single literal/unit preference strategy in Dual Resolution Theorem Proving and looking for falsifying interpretations:

$$
p \vee(p \wedge q) \vee(\neg p \wedge q \wedge r)
$$

Stronger components (minterms) in CNF can be absorbed - this leads to simplification of the DNF

$$
p \equiv p \vee(p \wedge q)
$$

Minterms different in one position — defined by complementary literals can be resolved (Dual RR):

$$
(p \wedge q) \vee(p \wedge \neg q) \equiv p
$$

A DNF containing complementary literals as unit minterms is immediately true:

$$
p \vee(q \wedge \neg s) \vee \neg p \equiv \top
$$

## Transformation to CNF/DNF

1. $\Phi \Leftrightarrow \Psi \equiv(\Phi \Rightarrow \Psi) \wedge(\Psi \Rightarrow \Phi)$ - elimination of equivalence,
2. $\Phi \Rightarrow \Psi \equiv \neg \Phi \vee \Psi$ - elimination of implication,
3. $\neg(\neg \Phi) \equiv \Phi-$ elimination of double negations,
4. $\neg(\Phi \vee \Psi) \equiv \neg \Phi \wedge \neg \Psi-$ De Morgan's rule,
5. $\neg(\Phi \wedge \Psi) \equiv \neg \Phi \vee \neg \Psi-$ De Morgan's rule,
6. $\Phi \vee(\Psi \wedge \Upsilon) \equiv(\Phi \vee \Psi) \wedge(\Phi \vee \Upsilon)$ - distributivity rule; towards CNF,
7. $\Phi \wedge(\Psi \vee \Upsilon) \equiv(\Phi \wedge \Psi) \vee(\Phi \wedge \Upsilon)$ - distributivity rule; towards DNF.

## Example:

$$
\begin{gathered}
(p \wedge(p \Rightarrow q)) \Rightarrow q \equiv \neg(p \wedge(p \Rightarrow q)) \vee q \equiv \\
\neg(p \wedge(\neg p \vee q)) \vee q \equiv(\neg p \vee \neg(\neg p \vee q)) \vee q \equiv \\
(\neg p \vee(p \wedge \neg q)) \vee q \equiv \neg \mathbf{p} \vee(\mathbf{p} \wedge \neg \mathbf{q}) \vee \mathbf{q} \equiv \\
(\neg p \vee p) \wedge(\neg p \vee \neg q) \vee q \equiv \neg p \vee \neg q \vee q \equiv \neg p \vee \top \equiv \top .
\end{gathered}
$$

## Example:

Transforming CNF to DNF:

$$
\text { - } \begin{aligned}
& \phi=((p \vee q) \wedge(p \vee r) \wedge(q \vee s) \wedge(r \vee s)), \quad \psi=((p \wedge s) \vee(q \wedge r)) \\
& \text { - } \phi=((p \vee q) \wedge(q \vee r) \wedge(r \vee p)), \quad \psi=((p \wedge q) \vee(q \wedge r) \vee(r \wedge p)) \\
& \text { - } \phi=((p \vee q \vee r) \wedge(q \vee r \vee s) \wedge(r \vee s \vee p)) \quad \psi=((p \wedge q) \vee(p \wedge s) \vee(q \wedge s) \vee r) .
\end{aligned}
$$

## Example EX-LCV16 continued: Comparing DNF

Let us reconsider:

$$
\begin{gathered}
\phi=(p \Rightarrow q) \wedge(r \Rightarrow s), \\
\varphi=(p \vee r) \Rightarrow(q \vee s) .
\end{gathered}
$$

We check for logical implication:

$$
\phi \models \varphi .
$$

Transform $\phi$ to DNF:

$$
\begin{aligned}
\phi & =(p \Rightarrow q) \wedge(r \Rightarrow s)=(\neg p \vee q) \wedge(\neg r \vee s)= \\
& =(\neg p \wedge \neg r) \vee(\neg p \wedge s) \vee(q \wedge \neg r) \vee(q \wedge s) .
\end{aligned}
$$

and next to its maxDNF form:
$\max D N F(\phi)=(\neg p \wedge \neg q \wedge \neg r \wedge \neg s) \vee(\neg p \wedge \neg q \wedge \neg r \wedge s) \vee(\neg p \wedge \neg q \wedge r \wedge s) \vee$

$$
\begin{aligned}
& (\neg p \wedge q \wedge \neg r \wedge \neg s) \vee(\neg p \wedge q \wedge \neg r \wedge s) \vee(\neg p \wedge q \wedge r \wedge s) \vee \\
& (p \wedge q \wedge \neg r \wedge \neg s) \vee(p \wedge q \wedge \neg r \wedge s) \vee(p \wedge q \wedge r \wedge s)
\end{aligned}
$$

Transform $\varphi$ to DNF:

$$
\begin{aligned}
\varphi & =(p \vee r) \Rightarrow(q \vee s)=\neg(p \vee r) \vee q \vee s=(\neg p \wedge \neg r) \vee q \vee s= \\
& =(\neg p \wedge \neg r) \vee q \vee s .
\end{aligned}
$$

and next to its maxDNF form:

$$
\begin{aligned}
\max D N F(\varphi)= & (\neg p \wedge \neg q \wedge \neg r \wedge \neg s) \vee(\neg p \wedge \neg q \wedge \neg r \wedge s) \vee(\neg p \wedge \neg q \wedge r \wedge s) \vee \\
& (\neg p \wedge q \wedge \neg r \wedge \neg s) \vee(\neg p \wedge q \wedge \neg r \wedge s) \vee(\neg p \wedge q \wedge r \wedge s) \vee \\
& (\neg p \wedge q \wedge r \wedge \neg s) \vee(p \wedge q \wedge \neg r \wedge \neg s) \vee(p \wedge q \wedge \neg r \wedge s) \vee \\
& (p \wedge q \wedge r \wedge s) \vee(p \wedge q \wedge r \wedge \neg s) \vee(p \wedge \neg q \wedge \neg r \wedge s) \vee \\
& (p \wedge \neg q \wedge r \wedge s) .
\end{aligned}
$$

and so we have all the 9 full minterms covering all the 1 -s of column $\phi$ and all the 13 full minterms covering all the 1-s columnn $\varphi$ ofthe EX-LCV16 table; check them!

Further on, it can be seen that:

$$
[\max D N F(\phi)] \subseteq[\max D N F(\varphi)],
$$

Could it be checked earlier - without generating the maxDNF forms?

Important: short $\Sigma$ notation (i.e the sum of products): Note that taking into account the enumeration of the minterms in the leftmost column of the EXLCV16, the $\phi$ and $\varphi$ formulas can be represented as the sums of products in the following form:

$$
\operatorname{maxDNF}(\phi)=\Sigma(0,1,3,4,5,7,12,13,15)
$$

and

$$
\max \operatorname{DNF}(\varphi)=\Sigma(0,1,3,4,5,6,7,9,11,12,13,14,15)
$$

In this shorthand notation it is well-visible that in fact $\operatorname{maxDNF}(\varphi)$ covers $\operatorname{maxDNF}(\phi)$.

## Example EX-LCV16 continued: Comparing CNF

Let us reconsider:

$$
\begin{aligned}
& \phi=(p \Rightarrow q) \wedge(r \Rightarrow s), \\
& \varphi=(p \vee r) \Rightarrow(q \vee s) .
\end{aligned}
$$

We check for logical implication:

$$
\phi \models \varphi .
$$

Transform $\phi$ to CNF:

$$
\phi=(p \Rightarrow q) \wedge(r \Rightarrow s)=(\neg p \vee q) \wedge(\neg r \vee s) .
$$

and next to its maxCNF form:

$$
\begin{aligned}
\max C N F(\phi)= & (p \vee q \vee \neg r \vee s) \wedge(p \vee \neg q \vee \neg r \vee s) \wedge \\
& (\neg p \vee q \vee r \vee s) \wedge(\neg p \vee q \vee r \vee \neg s) \wedge \\
& (\neg p \vee q \vee \neg r \vee s) \wedge(\neg p \vee q \vee \neg r \vee \neg s) \wedge \\
& (\neg p \vee \neg q \vee \neg r \vee s) .
\end{aligned}
$$

Transform $\varphi$ to CNF:

$$
\begin{aligned}
\varphi & =(p \vee r) \Rightarrow(q \vee s)=\neg(p \vee r) \vee q \vee s=(\neg p \wedge \neg r) \vee q \vee s= \\
& =(\neg p \vee q \vee s) \wedge(\neg r \vee q \vee s) .
\end{aligned}
$$

and next to its maxCNF form:

$$
\begin{aligned}
\max C N F(\varphi)= & (p \vee q \vee \neg r \vee s) \wedge \\
& (\neg p \vee q \vee r \vee s) \wedge \\
& (\neg p \vee q \vee \neg r \vee s) \wedge .
\end{aligned}
$$

and so we have 7 full maxterms covering all the 0 -s of the $\phi$ column and 3 full maxterms covering all the 0 -s of the $\varphi$ column of the EX-LCV16 table; check them!

Further on, it can be seen that:

$$
[\max C N F(\varphi)] \subseteq[\max C N F(\phi)],
$$

Could it be checked earlier - without generating the maxCNF forms?

Important: short $\Pi$ notation: Note that taking into account the enumeration of the maxterms in the leftmost column the $\phi$ and $\varphi$ formulas can be represented as the product of sums in the following form:

$$
\operatorname{maxCNF}(\phi)=\Pi(2,6,8,9,10,11,14)
$$

and

$$
\operatorname{maxCNF}(\varphi)=\Pi(2,8,10)
$$

Can you see the relationship between the $\Sigma$ and the $\Pi$ representation of the respective formulas?

## Maximal CNF and DNF Forms - Two Observations

Let $\phi$ and $\psi$ be two propositional formulas.
We have:

## Lemma $7{ }^{1}$

$$
\phi \models \psi \quad \text { iff } \quad[\max D N F(\phi)] \subseteq[\max D N F(\psi)]
$$

For intuition, all the 1-s of $\phi$ are covered by the 1-s of $\psi$.
Also:

## Lemma $8{ }^{2}$

$$
\phi \models \psi \quad \text { iff } \quad[\max C N F(\psi)] \subseteq[\operatorname{maxDNF}(\phi)]
$$

For intuition, all the 0 -s of $\psi$ are covered by the 0 -s of $\phi$.

## Conclusions:

- Two propositional formulas $\phi$ and $\psi$ are logically equivalent iff their maximal CNF forms are identical (up to the order of components).
- Two propositional formulas $\phi$ and $\psi$ are logically equivalent iff their maximal DNF forms are identical (up to the order of components).

[^0]
## Logic for KRR - Tasks and Tools

- Theorem Proving - Verification of Logical Consequence:

$$
\Delta \models H ;
$$

- Automated Inference - Derivation:

$$
\Delta \vdash H ;
$$

- SAT (checking for models) - satisfiability:

$$
\models_{I} H ;
$$

- un-SAT verification - unsatisfiability:

$$
\not \vDash_{I} H \text { for any interpretation I; }
$$

- Tautology verification (completeness):

$$
\models H
$$

- valid inference rules - checking:

$$
(\Delta \vdash H) \quad \longrightarrow \quad(\Delta \models H)
$$

- complete inference rules - checking:

$$
(\Delta \models H) \quad \longrightarrow \quad(\Delta \vdash H)
$$

- finding minimal forms: CNF and DNF.

Question: what are the areas of application of CNF vs. DNF?
Why and when CNF vs. DNF?
(C)Antoni Ligęza


[^0]:    ${ }^{1}$ Corrected w.r.t former edition
    ${ }^{2}$ Corrected w.r.t former edition

